Lif3 Rec'd PCT/PTO 14 MAR 2006

FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 01-2003) 125333 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/549,453 **DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/003335 March 12, 2004 March 20, 2003 TITLE OF INVENTION WAFER-HOLDING CARRIER. DOUBLE-SIDE POLISHING APPARATUS USING THE SAME, AND DOUBLE-SIDE POLISHING METHOD OF WAFER APPLICANT(S) FOR DO/EO/US Junichi UENO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2.  $\boxtimes$ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). П 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 

 is attached hereto (required only if not communicated by the International Bureau).
 b. has been communicated by the International Bureau. c.  $\square$  is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) b. has been previously submitted under 35 U.S.C. 154(d)(4). c. The International Application was filed in English. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a.  $\square$  are attached hereto (required only if not communicated by the International Bureau). b.  $\square$  have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. 

have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 17. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. 20. Other items or information: 1) Notice of Acceptance and Filing Receipt Status Request; 2) Assignment Status Request

U.G. APPLICATION NO. (if known, see 37 C.F.R. 1 10/549,453	6. APPLICATION NO. (if known, see 37 C.F.R. 1.5) INTERNATIONAL APPLICATION NO. PCT/JP2004/003335		ATTORNEY'S DOCKET NUMBER 125333	
21. The following fees are submitted:		<u> </u>	CALCULATIONS	PTO USE ONLY
21. The following fees are submitted.				, , , , , , , , , , , , , , , , , , ,
BASIC NATIONAL FEE (37 CFR 1.492(a)):			\$	
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):			\$	
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage				
International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00				
International search report provided to USPTO no later than the time at which the search fee is paid\$400.00				
All situations not provided for above\$ 500.00				
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):			\$	
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage				
Surcharge of \$130.00 for furnishing the search fee, the examination fee or the oath or			\$	
declaration after the date of commencement of the national stage (37 CFR 1.492(h)).			· · · · · · · · · · · · · · · · · · ·	
TOTAL PAGES OF APPLICATION OVER ÷ 5 100 ( - 100)	io = †	x 250 =	\$	
†round up to next integer				
CLAIMS NUMBER		RATE	\$	
TOTAL CLAIMS - 2		x 50.00 =	\$	
INDEPENDENT CLAIMS -		x 200.00 = + 360.00 =	\$ \$	
MULTIPLE DEPENDENT CLAIM(S)(if applicable) + 360.00 =  TOTAL OF ABOVE CALCULATIONS =			\$	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$				
reduced by ½ .				
SUBTOTAL =			\$	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				
TOTAL NATIONAL FEE =			\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				· - · · · · · · · · · · · · · · · · · ·
TOTAL FEES ENCLOSED =			Amount to be	
			refunded:	\$
Language Control Control			charged:	\$
<ul> <li>a.</li></ul>				
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC				
Customer Number: 25944 NAME: William P. Berridge				
Date March 14, 2006  NAME: Daniel A. Tanner, III				
REGISTRATION NUMBER: 54,734				

#### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Junichi UENO

ATTN: Mail Stop PCT

Application No.: 10/549,453

Docket No.: 125333

Filed: September 14, 2005

For:

WAFER-HOLDING CARRIER, DOUBLE-SIDE POLISHING APPARATUS USING THE SAME, AND DOUBLE-SIDE POLISHING METHOD OF WAFER

## NOTIFICATION OF ACCEPTANCE AND FILING RECEIPT STATUS REQUEST

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The above-captioned patent application entered the National Phase on September 14, 2005. The 35 U.S.C. 371 requirements were completed on September 14, 2005.

The original Notification of Acceptance and Filing Receipt have not yet been received. It is respectfully requested that the original Notification of Acceptance and Filing Receipt be immediately forwarded to the attorneys of record at the address set forth below.

If there are any questions regarding this matter, please contact the undersigned at the telephone number set forth below.

Respectfully submitted

William P. Berridge Registration No. 30,024

Daniel A. Tanner, III Registration No. 54,734

WPB:DAT/mps

Date: March 14, 2006

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Junichi UENO

Application No.: 10/549,453

Attn: Assignment Branch

Filed: September 14, 2005

Docket No.: 125333

For:

WAFER-HOLDING CARRIER, DOUBLE-SIDE POLISHING APPARATUS USING THE SAME, AND DOUBLE-SIDE POLISHING METHOD OF WAFER

# ASSIGNMENT STATUS REQUEST

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

An Assignment of the above-captioned patent application was filed on September 14, 2005. A copy of the Assignment, a new Assignment Transmittal Letter indicating the serial number and a copy of the postcard receipt are attached hereto.

The Notice of Recordation, indicating the date and reel and frame numbers of recordation, has not yet been returned. It is respectfully requested that the Notice of Recordation be immediately returned to the attorneys of record at the address set forth below.

If there are any questions regarding this matter, please contact the undersigned at the telephone number set forth below.

Respectfully submitted,

William P. Berridge Registration No. 30,024

Daniel A. Tanner, III Registration No. 54,734

WPB:DAT/mps

Date: March 14, 2006

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400